



Nationwide®

Investment Advisor Representative (IAR) Data Sheet Licensing Services Division

Nationwide Life Insurance Company

PO Box 182835, Columbus, OH 43218-2835

Phone: 800-321-6064 • Fax: 877-634-5264 • nationwide.com

1. IAR Information

Full Name: _____

SSN: _____ CRD: _____ Date of Birth: _____

Registered Investment Advisor (RIA) Firm or Bank: _____

Business Address: _____

City: _____ State: _____ ZIP: _____

Business Phone: _____ Business Fax: _____

Business Cell: _____ Business Email: _____

Are you an Investment Advisor Representative or an Unregistered Bank Employee/Advisor?

IAR Bank Employee/Advisor

If an IAR, State(s) where you are registered: _____

Do you have any fee based Retirement Plan(s) at Nationwide associated with a different firm? Yes No

Are you a Registered Representative of a BD? Yes No

If Yes, Broker/Dealer Firm: _____

If you are also a Registered Representative of a Broker/Dealer and an IAR, your signature below certifies that the Broker/Dealer has approved the outside business activity of being an Investment Advisor Representative of a Registered Investment Adviser.

2. Legal/Regulatory Information

Please check the appropriate answer and provide additional documentation for any YES answers.

Has any governmental or securities regulatory agency ever found you to be in violation of its statutes, rules and/or regulations? Yes No

Have you ever been the subject of a FINRA, SEC, or Banking complaint, investigation, or had disciplinary action taken against you? Yes No

Have you ever been terminated for cause by a registered investment adviser, broker/dealer, or bank? Yes No

Have you ever been refused an insurance or securities license, or had your insurance or securities license revoked or suspended? Yes No

Are you subject to an SEC order issued to censure or place limitations on your activities? Yes No

Have you ever been convicted of a felony? Yes No

Have you filed a bankruptcy petition, been declared bankrupt or insolvent within the past ten years? Yes No

Are you currently indebted to any insurance company or do you now have or have you ever had an unsatisfied judgments, liens, or garnishments against you? Yes No

Have you ever been convicted within the last ten years of any felony or misdemeanor involving the purchase or sale of any securities or investment, the taking of a false oath, the making of a false report, bribery, theft, misappropriation, perjury, burglary, any substantially equivalent activity however denominated or conspiracy to commit such an offense? Yes No

3. IAR or Bank Employee/Advisor Signature

Name: (please print) _____

Signature: _____ Date: _____

4. Submission Information

Please submit this completed form to Licensing Services Division by mail, fax, or Email.

Standard Mailing Address:

NF Licensing
Nationwide Insurance Company
PO Box 182835
Columbus, OH 43218-2835

Overnight Mailing Address:

NF Licensing
Nationwide Insurance Company
1 Nationwide Plaza, 1-LC-F2
Columbus, OH 43215-2239

Fax: 877-634-5264

Email: license@nationwide.com

Questions? Contact NF Licensing Services Division by Phone:

800-321-6064 or 800-367-5939 (Private Sector Retirement Plans)

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